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**TRANSMITTAL  
FORM**

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Total Number of Pages in This Submission

12

Application Number

10/041,015

Filing Date

December 28, 2001

First Named Inventor

Alan Ballard

Art Unit

2174

Examiner Name

Ryan F. PITARO

Attorney Docket Number

021756-017200US

**ENCLOSURES** (Check all that apply)

- |   |  |  |
|---|--|--|
| <input type="checkbox"/> Fee Transmittal Form                             | <input type="checkbox"/> Drawing(s)  | <input type="checkbox"/> After Allowance Communication to TC   |
| <input type="checkbox"/> Fee Attached                                     | <input type="checkbox"/> Licensing-related Papers  | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences                    |
| <input type="checkbox"/> Amendment/Reply                                  | <input type="checkbox"/> Petition  | <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)                |
| <input type="checkbox"/> After Final                                      | <input type="checkbox"/> Petition to Convert to a Provisional Application                | <input type="checkbox"/> Proprietary Information   |
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| <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application   | The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430. |  |
| <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 |  |  |

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name	Townsend and Townsend and Crew LLP		
Signature			
Printed name	Jason D. Lohr		
Date	October 5, 2006	Reg. No.	48,163

**CERTIFICATE OF TRANSMISSION/MAILING**

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Typed or printed name

Krista K. Merrimac

Date

October 5, 2006



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On October 5, 2006

PATENT  
Docket No.: 021756-017200US  
Client Reference: OID-2005-245-05

TOWNSEND and TOWNSEND and CREW LLP

By: *Christa A. Norrman*

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Alan Ballard et al.

Application No.: 10/041,015

Filed: December 28, 2001

For: CUSTOMIZATION OF USER  
INTERFACE PRESENTATION IN AN  
INTERNET APPLICATION USER  
INTERFACE

Examiner: Ryan F. Pitaro

Art Unit: 2174

**SECOND REQUEST FOR CORRECTED  
FILING RECEIPT**

Office of Initial Patent Examination  
Filing Receipt Corrections  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Attached is a redline corrected copy of Updated Filing Receipt for the above-noted application for which issuance of a corrected Filing Receipt is respectfully requested.

There is an error in the Domestic Priority section of the Filing Receipt. The correct Domestic Priority should read as follows **(with corrections noted in bold text):**

**THIS APPLN CLAIMS BENEFIT OF 60/273,190 03/02/2001  
AND CLAIMS BENEFIT OF 60/316,773 08/31/2001**

In support of this priority claim, we have attached the Second Preliminary Amendment that was filed with the U.S. Patent Office on July 8, 2002. The second priority claim (60/316,773, filed 08/31/01) was listed in the application as filed without the serial number because the serial number was unavailable at the time the application was filed. The Second Preliminary Amendment amends the specification to include the serial number in paragraph [0001], line 4 as noted in Exhibit A to the Second Preliminary Amendment. In addition, the serial numbers for related applications have also been updated in paragraph [0002], lines 2, 3, 5, 7, and 9 as illustrated in Exhibit A to the Second Preliminary Amendment.

Applicants respectfully request the Attorney Docket No. and Addressee sections to be updated. Please update the Attorney Docket No. as follows with corrections noted in bold text:

**021756-017200US**

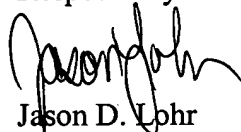
Please correct the Addressee as follows with correction noted in bold text:

**51206**  
**TOWNSEND AND TOWNSEND AND CREW LLP**  
**Two Embarcadero Center**  
**Eighth Floor**  
**San Francisco, CA 94111**

An Application Data Sheet is included with this Response.

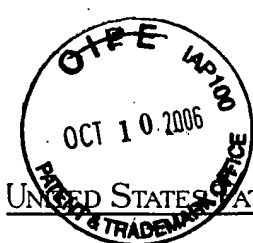
Per current PTO guidelines, there is no charge for correcting the Filing Receipt. Applicants respectfully request that the Filing Receipt be corrected.

Respectfully submitted,



Jason D. Lohr  
Reg. No. 48,163

TOWNSEND and TOWNSEND and CREW LLP  
Two Embarcadero Center, 8th Floor  
San Francisco, California 94111-3834  
Tel: (415) 576-0200/ Fax: (415) 576-0300  
JDL/km



## UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND. CLAIMS
10/041,015	12/28/2001	2152	3300	<del>PA2064US</del>	25	85	18

**S1206**  
~~22830 TOWNSEND AND TOWNSEND and CREW LLP~~  
~~CARR & FERRELL LLP~~ **TWO EMBARCADERO CENTER**  
~~2225 EAST BAYSHORE ROAD~~ **EIGHTH FLOOR**  
~~SUITE 200~~ **SAN FRANCISCO, CA 94111**  
~~PALO ALTO, CA 94303~~

021756-017200US

CONFIRMATION NO. 2600

UPDATED FILING RECEIPT



\*OC00000008151688\*

Date Mailed: 05/20/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Alan Ballard, Vancouver, CANADA;  
Theresa Sherwood, Walnut Creek, CA;  
Laura Steward Akel, San Francisco, CA;

## Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/273,190 03/02/2001

AND CLAIMS BENEFIT OF 60/316,773 08/31/2001

## Foreign Applications

If Required, Foreign Filing License Granted 02/01/2002

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

## Title

Customization of user interface presentation in an internet application user interface

## Preliminary Class

709

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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

Patent/Serial No.: 10/041,015 Issue/Filing Date: December 28, 2001  
Title: Customization of User Interface Presentation in an Internet Application User Interface  
Inventor(s)/Applicant(s): Alan Ballard et al.  
C & F Docket No.: PA2064US Atty/Sec. Initials: SMC/elf  
Date Mailed: July 8, 2002 Delivery Method: First Class Docket Due Date:

THE FOLLOWING HAS BEEN RECEIVED IN THE U.S. PATENT & TRADEMARK  
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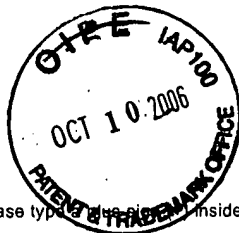
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| <input checked="" type="checkbox"/> Transmittal letter/form PTO/SB/21                                | <input checked="" type="checkbox"/> Certificate of Mailing                                    |
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| <input type="checkbox"/> Utility Application: _____ pages of specification<br>(w/ claims & abstract) | <input type="checkbox"/> Information Disclosure Statement                                     |
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| <input type="checkbox"/> Drawings: _____ # of sheets incl. _____ FIGs.                               | <input type="checkbox"/> Petition for: _____  |
| <input type="checkbox"/> Declaration & POA   | <input type="checkbox"/> Issue Fee Transmittal (2 copies)                                     |
| <input type="checkbox"/> Fee Transmittal (PTO/SB/17) (2 copies)                                      | <input type="checkbox"/> PCT Application: _____ pgs of specification<br>(w/claims & abstract) |
| <input type="checkbox"/> Check in the amount of \$ _____   | <input type="checkbox"/> PCT Demand _____ pages   |
| <input type="checkbox"/> Assignment & Assignment Cover Sheet   | <input type="checkbox"/> PCT Request _____ pages  |
| <input checked="" type="checkbox"/> Amendment/Response: 4 pages                                      |   |
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JUL 15 2002

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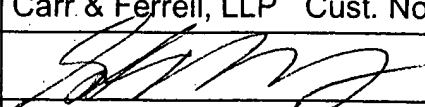
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<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	<b>Application Number</b>	10/041,015	
	<b>Filing Date</b>	December 28, 2001	
	<b>First Named Inventor</b>	Alan Ballard	
	<b>Group Art Unit</b>	2152	
	<b>Examiner Name</b>	Unknown	
<b>Total Number of Pages in This Submission</b>	5	<b>Attorney Docket Number</b>	PA2064US

<b>ENCLOSURES (check all that apply)</b>		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
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<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
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<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Postcard
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
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<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	Total page number does not include the postcard.	

<b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT</b>	
<b>Firm or Individual name</b>	Carr & Ferrell, LLP Cust. No. 22830
<b>Signature</b>	 Reg. No. 50,250
<b>Date</b>	July 8, 2002

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<b>Typed or printed name</b>	Steven M. Colby
<b>Signature</b>	
<b>Date</b>	July 8, 2002

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IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Alan Ballard, Theresa Sherwood, Laura Steward.  
SERIAL NO.: 10/041,015  
FILING DATE: December 28, 2001  
TITLE: Customization of User Interface Presentation in an Internet  
Application User Interface  
EXAMINER: Unknown  
ART UNIT: 2152  
ATTY.DKT.NO.: PA2064US

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Date:

July 8, 2002

  
Steven M. Colby

Commissioner for Patents  
Washington, D.C. 20231

Sir:

**2<sup>nd</sup> PRELIMINARY AMENDMENT**

The captioned application is U.S. Serial No. 10/041,015, filed December 28, 2001.

Before action, please amend the captioned application as follows.



## **IN THE CROSS-REFERENCE TO RELATED APPLICATIONS**

Please replace paragraphs 1 and 2 with the following scannable version of paragraphs 1 and 2.

[0001] This application claims priority from commonly owned U.S. Provisional Patent Application No. 60/273,190 entitled "HTML – Based Applications for Support of Complex Transactions," filed March 2, 2001 and U.S. Provisional Patent Application No. 60/316,773, entitled "Meta Language Control of Complex Network Applications," filed August 31, 2001. The disclosures of these two provisional patent applications are incorporated herein by reference.

[0002] This application is related to co-pending U.S. Patent Application No. 10/040,397, entitled "Customization of Tab-order Functionality in Internet Applications," co-pending U.S. Patent Application No. 10/041,034, entitled "Customization of Client-Server Interaction in an Internet Application," co-pending U.S. Patent Application No. 035,413, entitled "Customization of Immediate Access and HotKey Functionality in an Internet Application User Interface," co-pending U.S. Patent Application No. 10/165,623, entitled "System and Method for Automatic Generation of HTML Based Interfaces Including Alternative Layout Modes," and co-pending U.S. Patent Application No. 10/040,396, entitled "System and Method for Searching Data Partially Displayed on a User Interface."

### REMARKS

The present application is U.S. Patent Application Serial No. 10/041,015. This 2<sup>nd</sup> Preliminary Amendment is being filed prior to receipt of the first Office Action. No new matter has been added by these amendments. Attached as Appendix A is the marked-up version showing the amendment.

The Examiner is respectfully requested to enter this Amendment and to examine the pending claims. The Examiner is respectfully urged to consider the claimed invention at the earliest time possible and issue a favorable action indicating the application is in condition for allowance. If the Examiner believes a telephone conference would expedite prosecution of this application, please telephone Applicant's undersigned representative at the number given below.

Respectfully submitted,

Alan Ballard et al.

Date: July 8, 2002

By: 

Steven M. Colby, Ph.D.  
Registered Patent Agent  
Reg. No. 50,250  
Carr & Ferrell LLP  
2225 East Bayshore Road, Suite 200  
Palo Alto, CA 94303  
Phone: (650) 812-3424  
Fax: (650) 812-3444

## APPENDIX A

[0001] This application claims priority from commonly owned U.S. Provisional Patent Application No. 60/273,190 entitled "HTML – Based Applications for Support of Complex Transactions," filed March 2, 2001 and U.S. Provisional Patent Application No. 60/\_\_\_\_\_ 316,773, entitled "Meta Language Control of Complex Network Applications," filed August 31, 2001. The disclosures of these two provisional patent applications are incorporated herein by reference.

[0002] This application is related to co-pending U.S. Patent Application No. 60/\_\_\_\_\_ 10/040,397, entitled "Customization of Tab-order Functionality in Internet Applications," co-pending U.S. Patent Application No. 60/\_\_\_\_\_ 10/041,034, entitled "Customization of Client-Server Interaction in an Internet Application," co-pending U.S. Patent Application No. 60/\_\_\_\_\_ 10/035,413, entitled "Customization of Immediate Access and HotKey Functionality in an Internet Application User Interface," co-pending U.S. Patent Application No. 10/165,623, entitled "System and Method for Automatic Generation of HTML Based Interfaces Including Alternative Layout Modes," and co-pending U.S. Patent Application No. 60/\_\_\_\_\_ 10/040,396, entitled "System and Method for Searching Data Partially Displayed on a User Interface."